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EDUCATION

J.D., Suffolk University Law School *(cum laude)*

B.A., Providence College *(cum laude)*

PRACTICE AREAS

IP & Technology Litigation Business Litigation

M&A Litigation

Broker-Dealer & Securities Litigation

Shareholder Disputes

Trade & Antitrust Litigation Fiduciary Litigation

Insurance Coverage Litigation

Construction Law

Arbitration & Mediation

Securities Law

BAR AND COURT ADMISSIONS

Massachusetts (1988)

Rhode Island (1990)

United States District Court, District of Massachusetts (1989)

United States District Court, District of Rhode Island (1991)

United States Court of Appeals, First Circuit (1990)

United States Tax Court (2000)

321 South Main Street Suite 400 Providence, RI 02903 Tel. 401.455.0700 Fax. 401.455.0701

ROBERT M. DUFFY, FOUNDING PARTNER AND SHARFHOLDER

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Robert Duffy is a founding shareholder of the firm. With more than 35 years of experience, Bob has handled complex federal and state court litigation and arbitration matters throughout the United States.

His diverse and complex business litigation and trial practice includes trust and estate fiduciary litigation, shareholder litigation, post-acquisition M&A litigation, health care and construction litigation. His clients include fiduciaries and beneficiaries of trusts, owners of privately held business, financial services companies, physician groups, healthcare systems, and technology, manufacturing companies.

Bob routinely advises trustees, shareholders, chief executives and boards of directors on fiduciary obligations and business litigation strategy. Bob has also successfully litigated matters involving ERP implementations and SAP ECC functionality and ASAP methodology.

In the healthcare arena, Bob has helped clients with matters of credentialing, captive insurance, physician and system agreements, general employment, and corporate and shareholder transactions.

Bob is certified as an arbitrator by the American Arbitration Association.

EXPERIENCE

As a trial lawyer, Bob has handled hundreds of commercial and intellectual property cases across the country, many through trial and appeal.

Bob has served as lead trial counsel for clients in numerous states including Massachusetts, Rhode Island, New York, Connecticut, Maine, Arizona, Illinois, New Jersey, California, Texas and Florida.

He has represented clients as lead counsel in matters of corporate governance and fiduciary disputes, trust and estate litigation, life insurance coverage and settlement litigation, merger-and-acquisition litigation, patent, trademark among many other areas.

Representative matters include:

- After an 11-day trial in Superior Court, Bob successfully defended trustees against fiduciary claims and obtained an award of both executor and trustee compensation.
- After a 9-day arbitration, Bob successfully defended a boat manufacturer against claims of fraud, defect and product liability arising out of the manufacture of 3 large passenger ferries.
- * Bob successfully prosecuted to settlement, on the eve of trial, claims on behalf of a technology client arising out of a failed SAP global ERP implementation.
- After a 4-day trial, Bob obtained an order removing a trustee from his fiduciary responsibilities.
- Bob and his team recently obtained summary judgment obtaining appraisal rights for the Firm's minority shareholder clients in the Business Litigation Session, Suffolk County.

RECOGNITION

Bob continues to be recognized by numerous lawyer-ranking and other rating organizations. He is consistently included as a *Best Lawyer* in the practice areas of Commercial Litigation, Insurance Litigation, Intellectual Property, M&A Litigation and Securities Litigation. Bob was recognized by Best Lawyers as the 2019 "Lawyer of the Year" for Intellectual Property Litigation in the Providence metro region.

Bob has consistently been named a *Super Lawyer* and has been selected as a Super Lawyers' Top Ten commercial trial lawyer in Rhode Island.

He continues to be recognized annually by *Chambers USA: America's Leading Guide for Business* for Commercial Litigation.

He was selected by the *National Trial Lawyers Association* as a "Top 100" trial lawyer and has been named a Litigation Star for *Benchmark Litigation* and an IP Star by *Managing Intellectual Property* magazine.

"Robert Duffy has an excellent reputation in the market for his practice in complex business litigation, which focuses particularly on IP matters. He specializes in cases involving ERP software. He also frequently advises clients on product liability and fiduciary duty disputes. Market commentators describe him as "unflappable" and "nimble in court," as well as highlighting his "complete grasp of facts and strategies." (Chambers USA: America's Leading Lawyers for Business)



ROBERT M. DUFFY, FOUNDING PARTNER AND SHAREHOLDER continued

"I consider Bob Duffy to be the best commercial litigator in Rhode Island. I've seen him go toe-to-toe with the biggest and most reputable firms in the country, and consistently outmaneuver and outperform them across the board. It's not just his combination of toughness, experience and knowledge of the law and our business, but also the strategic thinking that makes him the right choice for our lead trial attorney in nearly any case." (Client comment from Best Law Firms.)

"Bob Duffy is one the best businessmen I know, and he brings that perspective to his role as an attorney. He understands value and is relentlessly focused on delivering it. I trust Bob and his team to act in the best interest of my company, which is why I will use them again and again." (Client comment from Best Lawyers.)

















COMMUNITY

Teaching, Professional & Community Involvement

Providence College, Ryan School of Business, Adjunct Professor Advanced Business Law

Chesterton Academy of Our Lady of Hope, Board Member

Our Lady of Mercy Parish, Co-Trustee and Finance Committee Member

Rhode Island Bar Foundation, Member

PRESENTATIONS & PUBLICATIONS

"Service Charge Added to Trustees' Indemnification" was the title of the April 2018 article in *Rhode Island Lawyers Weekly* — featuring Stacey Nakasian and Robert Duffy — that addressed a Court decision approving payment of interest by a trust on legal fees incurred by the trustees.

Common Law Application of the Philosophy of Property: Where the Rubber Meets the Road (German-American Philosophical Colloquium 2012)

Advising Victims of Securities Fraud (Rhode Island Bar Association, May 2009)

The Law of the Internet in Rhode Island (National Business Institute 2001)

Internet Basics for the Rhode Island Attorney (National Business Institute 1999)

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